#### PARENTS' BILL OF RIGHTS FOR DATA PRIVACY AND SECURITY

The Tully Central School District is committed to preserving the security and integrity of student data. As outlined in the Education Law § 2-d, the Tully Central School District publishes the following "Parents' Bill of Rights for Data Privacy and Security" here on our website.

NYSED's Bill of Rights for Data Privacy and Security (Parents' Bill of Rights) can be viewed and downloaded using the link below.

http://www.nysed.gov/common/nysed/files/programs/data-privacy-security/parents-bill-of-rights 2.pdf

The Tully Central School District does not sell or release students' personally identifiable information for any commercial purposes;

Parents have the right to inspect and review the complete contents of their child's education record;

State and federal laws protect the confidentiality of personally identifiable information, and safeguards associated with industry standards and best practices, including but not limited to, encryption, firewalls, and password protection, must be in place when data is stored or transferred;

A complete list of all student data elements collected by the State will be available for public review both online and via regular mail from the State Education Department. The website and mailing addresses will be listed here when they are made available; and

Parents have the right to have complaints about possible breaches of student data addressed. Complaints may be submitted to Paul Schiener, Director of Instructional Support Services at <a href="mailto:psechiener@tullyschools.org">psechiener@tullyschools.org</a> or by calling (315) 696-6236.

# Strengthening Student Data Privacy

### Here's what parents need to know.

Education Law 2-D Regulations (Part 121)

Protecting Personally Identifiable Information in New York's Educational Agencies\*

On January 28, 2020, Part 121 of the Commissioner of Education's regulations became effective. These regulations require educational agencies to be diligent when protecting student **Personally Identifiable Information (PII)**\*\* and teacher and principal APPR data by employing industry best practices that align with the NIST Cybersecurity Framework v1.1.



Data Collection Transparency and Restrictions

Educational agencies must minimize the disclosure of PII for any purpose by managing contractual relationships to ensure compliance with Part 121.



Parent's Bill of Rights for Data Privacy and Security

Each educational agency must publish a parent's bill of rights on its website and include it in every contract with a third-party contractor that receives PII.



Data Privacy and Security Standards

NYSED adopted the NIST Cybersecurity Framework as the standard for data privacy and security. All educational agencies must meet this national standard to ensure they are adequately protecting student data.



Complaints of Breach /Unauthorized Release of PII

Parents and eligible students have a right to file complaints about possible breaches or unauthorized releases of student data. Educational agencies must establish procedures to address these complaints.



Reports and Notifications of Breach and Unauthorized Release

Educational agencies must report breaches to NYSED's Chief Privacy Officer, and notify affected parents and/or eligible students.



Data Protection Officer

Educational agencies must appoint a Data Protection Officer with appropriate knowledge, training, and experience to oversee data security and privacy.



Data Security and Privacy Policy

Educational agencies must adopt a Data Security and Privacy Policy by October 1, 2020 and publish it on their website.



Training for Educational Agency Employees

Employees of educational agencies that handle PII must complete annual training on the laws and requirements necessary to protect PII.



Third Party Contractors

Third party contractors must submit a Data Security and Privacy Plan for each contract to demonstrate how they will protect PII. NYSED's Chief Privacy Officer may impose penalties on contractors for breaches.



Access to Records

Parents and eligible students have a right to inspect and review student education records.

#### Questions?

Contact NYSED's Chief Privacy Officer at Privacy@NYSED.gov www.nysed.gov/data-privacy-security



- \* Educational agencies include public schools (including charter schools), school districts, and BOCES.
- \*\* **Personally Identifiable Information (PII)** is information that can be used to identify an individual whether directly (e.g. student's name; names of parents or family members; address of the student or student's family; personal identifiers like social security numbers) or indirectly when linked with other information (e.g., date of birth and mother's maiden name). Visit www.nysed.gov/data-privacy-security for more information.

### Frequently Asked Questions About Data Privacy and Security

Revised May 7, 2021

 Can companies that provide services to my school under contract (third party contractors) buy my information or use it for their marketing purposes?
No. Your personally identifiable information (PII) cannot be sold by a contractor or used for marketing purposes.

### 2. Must I be notified if there is an unauthorized disclosure of my personally identifiable information?

Yes. The school must notify the parent or eligible student of the unauthorized release of student data in the most expedient way possible and without unreasonable delay. This applies to cases of an unauthorized release of teacher or principal personally identifiable information data as well. Each affected teacher or principal must be notified.

#### 3. What other laws protect my student's data?

In addition to New York's Education Law Section 2-d, there are federal laws that are designed to protect student data and prohibit any misuse. The Family Educational Rights and Privacy Act (FERPA) is the foundational federal law on the privacy of students' educational records. It was enacted in 1974 and applies to schools that receive federal funding, which are most public schools and some, but not all, private schools. FERPA safeguards student privacy by limiting who may access student records, specifying for what purpose they may access those records, and detailing what rules they have to follow when accessing the data. FERPA also includes provisions that guarantee a parent's right to access, review and request the correction of their child's educational record. For additional information about FERPA and other federal laws, please visit our page, Federal Laws that Protect Student Data. Other applicable laws are the Protection of Pupil Rights Amendment (PPRA) which defines the rules states and school districts must follow when administering tools like surveys, analysis, and evaluations funded by the US Department of Education to students, and the Children's Online Privacy Protection Rule (COPPA) which imposes certain requirements on operators of websites, games, mobile apps or online services directed to children under 13 years of age, and on operators of other websites or online services that have actual knowledge that they are collecting personal information online from a child under 13 years of age.

### 4. How will contracted service providers be held accountable for maintaining the confidentiality of the student data they receive?

Educational agencies that contract with third parties who will receive student PII must enter into contracts with such third parties which include certain conditions outlined in the law such as the inclusion of a data security and privacy plan, the parents bill of rights and minimum technical security standards to protect student PII. The Chief Privacy Officer is also authorized by the law to impose civil penalties.

5. What are the essential parents' rights under the Family Educational Rights and Privacy Act (FERPA) relating to personally identifiable information in their child's student records?

The rights of parents under FERPA are summarized in the <u>Model Notification of Rights</u> <u>prepared by the United States Department of Education</u>(link is external) for use by schools in providing annual notification of rights to parents.

Parents' rights under FERPA include:

- 1. The right to inspect and review the student's education records within 45 days after the day the school or school district receives a request for access.
- 2. The right to request amendment of the student's education records that the parent or eligible student believes are inaccurate, misleading, or otherwise in violation of the student's privacy rights under FERPA. Complete student records are maintained by schools and school districts and not at NYSED, therefore, NYSED cannot make amendments to school or school district records. Schools and school districts are best positioned to make corrections to students' education records.
- 3. The right to provide written consent before the school discloses personally identifiable information (PII) from the student's education records, except to the extent that FERPA authorizes disclosure without consent (including but not limited to disclosure under specified conditions to: (i) school officials within the school or school district with legitimate educational interests; (ii) officials of another school for purposes of enrollment or transfer; (iii) third party contractors providing services to, or performing functions for an educational agency; (iv) authorized representatives of the U. S. Comptroller General, the U. S. Attorney General, the U.S. Secretary of Education, or State and local educational authorities, such as NYSED; (v) organizations conducting studies for or on behalf of educational agencies) and (vi) the public where the school or school district has designated certain student data as "directory information" (described below). The FERPA Model Notification of Rights more fully describes the exceptions to the consent requirement under FERPA).
- 4. Where a school or school district has a policy of releasing "directory information" from student records, the parent has a right to refuse to let the school or school district designate any of such information as directory information. Directory information, as defined in federal regulations, includes: the student's name, address, telephone number, email address, photograph, date and place of birth, major field of study, grade level, enrollment status, dates of attendance, participation in officially recognized activities and sports, weight and height of members of athletic teams, degrees, honors and awards received and the most recent educational agency or institution attended. Where disclosure without consent is otherwise authorized under FERPA, however, a parent's refusal to permit disclosure of directory information does not prevent disclosure pursuant to such separate authorization.
- 5. The right to file a complaint with the U.S. Department of Education concerning alleged failures by the School to comply with the requirements of FERPA.

#### 6. What "educational agencies" are included in the requirements of Education Law §2-d?

- 1. The New York State Education Department ("NYSED");
- 2. Each public school district;
- 3. Each Board of Cooperative Educational Services or BOCES; and
- 4. All schools that are:
  - a public elementary or secondary school;
  - universal pre-kindergarten program authorized pursuant to Education Law §3602-e;
  - an approved provider of preschool special education services; o any other publicly funded pre-kindergarten program;
  - a school serving children in a special act school district as defined in Education Law 4001; or
  - certain schools for the education of students with disabilities an approved private school, a state-supported school subject to the provisions of Education Law Article 85, or a state-operated school subject to Education Law Article 87 or 88.

### 7. What kind of student data is subject to the confidentiality and security requirements of Education Law §2-d?

The law applies to personally identifiable information contained in student records of an educational agency listed above. The term "student" refers to any person attending or seeking to enroll in an educational agency, and the term "personally identifiable information" ("PII") uses the definition provided in FERPA. Under FERPA, personally identifiable information or PII includes, but is not limited to:

- 1. The student's name;
- 2. The name of the student's parent or other family members;
- 3. The address of the student or student's family;
- 4. A personal identifier, such as the student's social security number, student number, or biometric record;
- 5. Other indirect identifiers, such as the student's date of birth, place of birth, and Mother's Maiden Name;
- 6. Other information that, alone or in combination, is linked or linkable to a specific student that would allow a reasonable person in the school community, who does not have personal knowledge of the relevant circumstances, to identify the student with reasonable certainty; or
- 7. Information requested by a person who the educational agency or institution reasonably believes knows the identity of the student to whom the education record relates.

# 8. What kind of student data is *not* subject to the confidentiality and security requirements of Education Law §2-d?

The confidentiality and privacy provisions of Education Law §2-d and FERPA extend only to PII, and not to student data that is not personally identifiable. Therefore, deidentified data (e.g., data regarding students that uses random identifiers), aggregated

data (e.g., data reported at the school district level) or anonymized data that could not be used to identify a particular student is not considered to be PII and is not within the purview of Education Law §2-d.

9. What protections are required to be in place if an educational agency contracts with a third-party contractor to provide services, and the contract requires the disclosure of PII to the third party contractor?

Education Law §2-d provides very specific protections for contracts with "third party contractors", defined as any person or entity, other than an educational agency, that receives student data or teacher or principal data from an educational agency pursuant to a contract or other written agreement for purposes of providing services to such educational agency. The term "third party contractor" also includes an educational partnership organization that receives student and/or teacher or principal APPR data from a school district to carry out its responsibilities pursuant to Education Law §211-e, and a not-for-profit corporation or other non-profit organization, which are not themselves covered by the definition of an "educational agency." Services of a third-party contractor covered under Education Law §2-d include, but not limited to, data management or storage services, conducting studies for or on behalf of the educational agency, or audit or evaluation of publicly funded programs.

When an educational agency enters into a contract with a third-party contractor, under which the third-party contractor will receive student data, the contract or agreement must include a data security and privacy plan that outlines how all state, federal, and local data security and privacy contract requirements will be implemented over the life of the contract, consistent with the educational agency's policy on data security and privacy. However, the standards for an educational agency's policy on data security and privacy must be prescribed in Regulations of the Commissioner that have not yet been promulgated. A signed copy of the Parents' Bill of Rights must be included, as well as a requirement that any officers or employees of the third-party contractor and its assignees who have access to student data or teacher or principal data have received or will receive training on the federal and state law governing confidentiality of such data prior to receiving access.

Each third party contractor that enters into a contract or other written agreement with an educational agency under which the third party contractor will receive student data or teacher or principal data must also comply with additional requirements outlined in Education Law §2-d such as limiting internal access to education records to those individuals that are determined to have legitimate educational interests, not using the education records for any other purposes than those explicitly authorized in its contract; not disclosing any PII to any other party that is not an authorized representative of the third party contractor to the extent they are carrying out the contract (i) without the prior written consent of the parent or eligible student; or (ii) unless required by statute or court order and the party provides a notice of the disclosure to NYSED, district board of education, or institution that provided the information no later than the time the information is disclosed, unless providing notice of the disclosure is expressly prohibited by the statute or court order; maintaining reasonable administrative, technical and

physical safeguards to protect the security, confidentiality and integrity of PII in its custody; and using encryption technology to protect data while in motion or in its custody from unauthorized disclosure.

### 10. What steps can and must be taken in the event of a breach of confidentiality or security?

NYSED's Chief Privacy Officer is authorized to investigate, visit, examine and inspect the third-party contractor's facilities and records and obtain documentation from, or require the testimony of, any party relating to the alleged improper disclosure of student data or teacher or principal APPR data. Where there is a breach and unauthorized release of PII by a third party contractor or its assignees, the third party contractor must notify NYSED of the breach in the most expedient way possible and without unreasonable delay. NYSED must then notify the parents in the most expedient way possible and without unreasonable delay. The law also authorizes the Chief Privacy Officer to impose certain penalties such as a monetary fine; mandatory training regarding federal and state law governing the confidentiality of student data, or teacher or principal APPR data; and preclusion from accessing any student data, or teacher or principal APPR data, from an educational agency for a fixed period up to five years.

# 11. Does a parent (or eligible student) have the right to inspect and review their student's education record that is stored with a third-party contractor?

Yes. federal law provides parents and eligible students with the right to inspect and review their student's education record. This also applies when a school uses a third-party contractor to store the information.

#### 12. Who is an eligible student?

An eligible student is one who has reached the age of 18 years or one who is attending a post-high school educational program at any age.

#### 13. What do I do to inspect my students' educational record?

You make a direct request to the school, college or other educational agency that maintains the information, following the process established by that agency. If a school uses a third-party contractor to maintain student information you make the request to the school.

#### 14. What if there is incorrect information in the student file?

If you find incorrect data in a student record, the school or agency maintaining the record must provide you with information about how to challenge the accuracy of that data.

### 15. Can an educational agency or third-party contractor sell personally identifiable information?

No. New York State law prohibits the sale or release of student personally identifiable information for marketing or other commercial purposes.

16. What if I believe or know that there has been an unauthorized disclosure of personally identifiable information in a student record?

You may file a complaint with the school or educational agency maintaining the information.

17. How much time does an educational agency have to respond to my complaint of an unauthorized disclosure of personally identifiable information?

The school or educational agency has 60 days from the receipt of your complaint to investigate the complaint and provide you with its findings. This period can be extended if the educational agency needs more time to complete its investigation or if releasing the findings may either compromise security or impede a law enforcement investigation. If the sixty-day period will be extended, the educational agency must provide you with a written explanation that includes the approximate date it anticipates responding to the complaint.

18. How much time does an educational agency have to notify affected parents or eligible students of a breach or unauthorized disclosure of personally identifiable information?

As soon as possible, and in no case no later than 60 calendar days after its discovery. This 60-day period may be extended if notification would interfere with an ongoing investigation by law enforcement or cause further disclosure of personally identifiable information because of a security vulnerability. In such a case, notice must be provided no later than 7 days following the completion of the law enforcement investigation or the remedying of the security vulnerability.